

Unbiased Binning for Fairness-aware Attribute Representation

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Outline

- 1 Motivation
- 2 Problem Formulation and Key Findings
- 3 Solution Overview: Unbiased Binning
- 4 Solution Overview: ε -biased Binning
- 5 Highlighted Experiments

Motivation

- *Attribute Binning*: Discretizing raw features into bucketized attributes – a common step before sharing a dataset
 - ▶ *Example*: equal-size binning ensures an equal number of tuples fall inside each bucket

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 - ① *Example*: attribute income

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Question

How to remove/reduce bias while minimally deviating from the original bucketization?

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k -binning

A partitioning of an attribute x into

- k ordered buckets $\mathcal{B} = \{B_1, \dots, B_k\}$ by specifying $k - 1$ boundaries $\{v_1, \dots, v_{k-1}\}$.
- Size of a bucket: the number of tuples with their value of attribute x being in the range $(v_{j-1}, v_j]$.
 - ▶ Equal-size k -binning: $|B_j \cap \mathcal{D}| = \frac{n}{k}, \quad \forall j \in [k]$.

Example: a k -binning

$$\mathcal{B} = \left\{ \begin{array}{ll} B_1 & : \quad x \leq v_1, \\ B_2 & : \quad v_1 < x \leq v_2, \\ \dots & , \\ B_k & : \quad v_{k-1} < x \end{array} \right\}$$

Problem Formulation: Unbiased Binning

Unbiased Binning

Given a dataset $\mathcal{D}$, a binning $\mathcal{B} = \{B_i\}_{i=1}^k$ of an attribute x is unbiased if

$$\frac{|\mathcal{G}_l|}{|\mathcal{D}|} = \frac{|B_j \cap \mathcal{G}_l|}{|B_j \cap \mathcal{D}|}, \quad \forall l \in [\ell], j \in [k]$$

Problem 1

Given a dataset $\mathcal{D}$, an attribute $x \in X$, and a positive integer value k , partition x into k bins $\mathcal{B} = \{B_i\}_{i=1}^k$, such that $\mathcal{B}$ is unbiased, and $(\max_{i \in [k]} |B_i \cap \mathcal{D}| - \min_{j \in [k]} |B_j \cap \mathcal{D}|)$ is minimized.

Problem Formulation: ε -biased Binning

Unbiased binning may not exist, or it may cause a high Price of Fairness. On the other hand, a small amount of bias may be acceptable in practice.

Bias of a Binning

Bias β of a bucket B_j with respect to a group $\mathbf{g}_l$ as the difference in the ratio of $\mathbf{g}_l$ in B_j compared to its overall ratio:

$$\beta_{\mathcal{D}}(B_j, \mathbf{g}_l) = \left| \frac{|\mathcal{G}_l \cap B_j|}{|\mathcal{D} \cap B_j|} - \frac{|\mathcal{G}_l|}{n} \right| \quad (1)$$

Bias of a binning $\mathcal{B}$ is the maximum bias of its buckets for any group $\mathbf{g}_l$:

$$\beta_{\mathcal{D}}(\mathcal{B}) = \max_{l \in [\ell]} \max_{j \in [k]} \beta_{\mathcal{D}}(B_j, \mathbf{g}_l) \quad (2)$$

Problem 2

Given a dataset $\mathcal{D}$, find a binning $\mathcal{B} = \{B_i\}_{i=1}^k$ of an attribute x such that $\beta_{\mathcal{D}}(\mathcal{B}) \leq \varepsilon$.

Key Findings

Objective	Algorithm	Optimal?	Practically Scalable?	Time Complexity	Space Complexity	Problem Scope
Unbiased Binning	UNBIASEDBINNING	✓	✓	$O(n \log n + m^2 k)$	$O(mk)$	Equal-size Binning
ε -biased Binning	DP	✓	✗	$O(n^2 k)$	$O(n^2)$	Equal-size Binning
	D&C	✗	✓	$O(n \log n)$	$O(n)$	General
	LS	✓	✓	$O(n \log n + n^{k-1})$	$O(n)$	General

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Boundary Candidates

* Simplification (for ease of presentation): suppose there are two groups (blue and red)

- Sort and index the tuples based on their values on x , such that $\forall i \in [n], t_i[x] \leq t_{i+1}[x]$.
- Let r_n be the overall ratio of the blue tuples in the dataset, i.e., $r_n = \frac{|\mathcal{G}_1|}{n}$.
- An index $i \in [n]$ is a **boundary candidate** if its blue ratio is equal to the overall blue ratio.

Sorted Indices	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Blue Ratios	0	0	1/3	1/4	2/5	1/2	3/7	1/2	4/9	2/5	5/11	1/2	7/13	1/2	7/15	1/2
			First Pass			→										
Boundary Candidates	—	—				→ ✓		✓				✓		✓		✓
			Second Pass			→										

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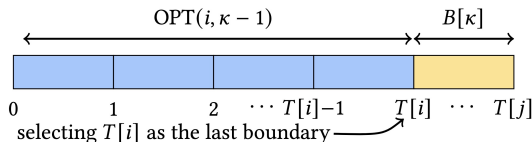
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Boundary Candidates			First Pass													
						✓		✓				✓		✓		✓
			Second Pass													

Theorem 1.

The boundaries of an unbiased binning $\mathcal{B}$ must only belong to the set of boundary candidates T .

Solution overview

- ① Step 0: Sort $\mathcal{D}$ on x $O(n \log n)$
- ② Step 1: Find the boundary candidates T $O(n)$ (two passes over the sorted lists)
- ③ Step 2: Dynamic Programming on T (details in the paper) $O(m^2 k)$; $m = |T|$



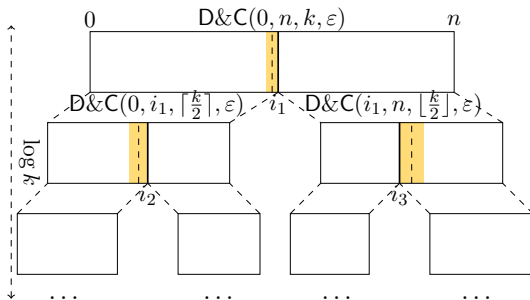
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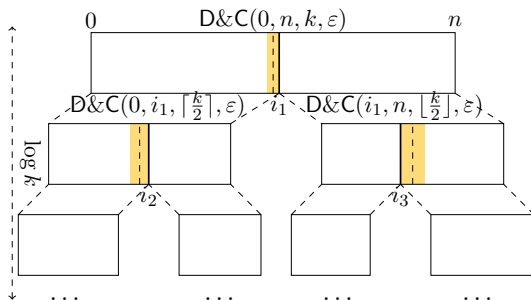
A practical solution

- Solution 1: Dynamic Programming for ε -biased binning
 - ▶ Theorem 1 (boundary candidates idea) does not hold for ε -biased binning.
 - ▶ $\Rightarrow$ Quadratic time and space $O(n^2) \rightarrow$ **not scalable**
- How about:
 - ① A near-optimal solution?
 - ② A practically efficient optimal solution?
- **Key idea:** Combine D&C with local search

D&C: a near-optimal solution



D&C: a near-optimal solution



Theorem 2.

D&C finds an ε -biased binning unless none exists.

In summary

D&C quickly (in $O(n \log n)$) finds a near-optimal ε -binning.

LS: optimal and practically-efficient

- 1 Step 1: Find a near-optimal solution $\mathcal{B}^{app}$ using DnC
- 2 Step 2: using the objective value of $\mathcal{B}^{app}$ as an upper bound, apply a local search around the initial binning to find the optimal solution.



Time/Space complexity

LS has a space complexity of $O(n)$ and a time complexity of $O(n \log n + (w_{dnc})^{k-1}) \leq O(n \log n + n^{k-1})$.

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Impact on downstream tasks: Performance and Fairness Metrics Before and After Unbiased Binning

Metric	Logistic Regression				Improvement
	Baseline Binning	ϵ -biased Binning	$0.8I$	$0.6I$	$\sim 0.5I$
Accuracy	0.67	0.7033	0.70	0.70	↑ Slightly improved
F1-score	0.25	0.2261	0.2623	0.18	↓ Slightly decreased
DI	0.9378	0.9804	0.9832	0.9949	✓ Very close to 1 (ideal)
SPD	-0.0545	-0.0180	-0.0151	-0.0048	✓ Almost perfect parity
EOD	-0.0794	-0.0195	-0.0215	+0.0116	✓ Major Improvement
AOD	-0.0341	-0.0124	-0.0113	-0.0050	✓ Almost perfect parity
INDV	0.974	0.973	0.968	0.958	↓ Slightly decreased

$I = [0.25, 0.093, 0.1]$ is the initial Bias of Baseline (Equal-size)

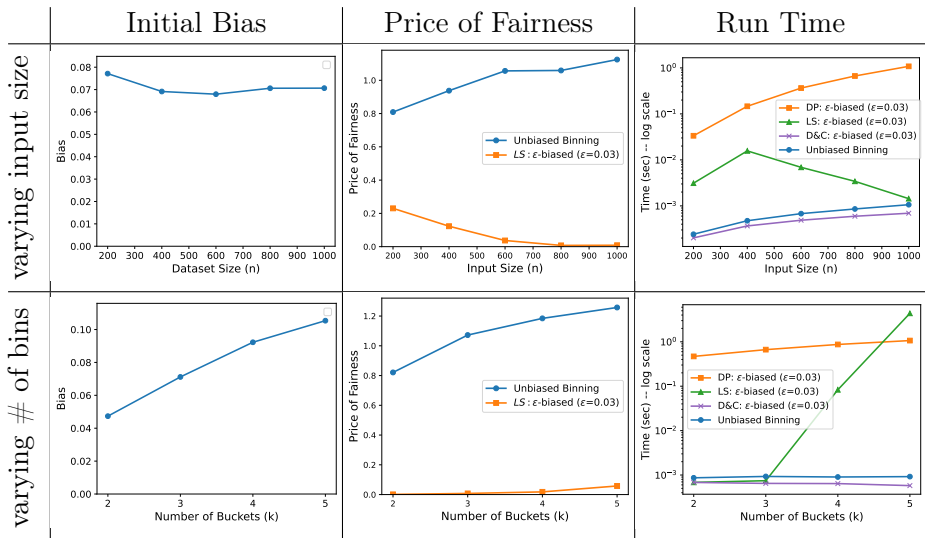
Binning

DI: Disparate Impact; **SPD**: Statistical Parity Difference;

EOD: Equal Opportunity Difference; **AOD**: Average Odds Difference.

INDV: Consistency (Individual Fairness).

Highlighted experiments on German Credit dataset



Thank you, REVIEWERS!

- Beyond individual attributes: higher-order correlations across multiple attributes.
- Task-aware Fair Binning
- Unbiased Binning as an In-process intervention. E.g., redefine the problem of "Optimal Binning" to maximize information while minimizing bias

Thank you, Question?



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